

SELF-ASSESSMENT TOOL

Premises Identification Number (PIN): _____ State in which the site is located: _____

Farm Name: _____ Farm Manager: _____

Auditor Name: _____ Audit Date: _____

Phase of production at the site (check all that apply): Breeding Non-Breeding

Total number of Breeding pigs on the site: _____ Total number of Breeding pigs observed: _____

Total number of Non-Breeding pigs on site: _____ Total number of Non-Breeding pigs observed: _____

General Comments about the site:

Animal Welfare: CRITICAL	Acceptable Answer	Possible Points	Points Achieved	Comments
1. Were any willful acts of abuse observed during the audit?	No	Pass/Fail		
2. Are animals euthanized in a timely manner?	Yes	Pass/Fail		
3. If euthanasia is observed, are animals handled humanely during the process?	Yes/Not Observed	Pass/Fail/"NO"		
4. If euthanasia is observed, are animals euthanized in place or is suitable equipment available to move non-ambulatory animals so they can be humanely euthanized?	Yes/Not Observed	Pass/Fail/"NO"		
5. If euthanasia is observed, do caretakers confirm insensibility and death after the euthanasia method is applied and before being removed from the facility?	Yes/Not Observed	Pass/Fail/"NO"		
Critical Total	Number of questions marked "Fail":			

Animal Welfare: ANIMAL/ BENCHMARKING	Acceptable Answer	Possible Points	Points Achieved	Comments												
6. Are animals handled appropriately for their age?	Yes	10														
7. Is proper equipment available and in good working order with no sharp edges and animal caretakers can articulate or demonstrate appropriate use during animal handling?	Yes	10														
8. Are electric prods used on weaned piglets?	No	10														
9. Are electric prods used to move market pigs, sows or boars out of pens?	No	10														
10. Do at least 90% of pigs observed have adequate space allowance? <table border="1" data-bbox="342 814 589 974"> <thead> <tr> <th></th> <th>Breeding</th> <th>Non-Breeding</th> <th>Total</th> </tr> </thead> <tbody> <tr> <td>Total Number Observed with Adequate Space:</td> <td></td> <td></td> <td></td> </tr> <tr> <td>% with Adequate Space:</td> <td></td> <td></td> <td></td> </tr> </tbody> </table>		Breeding	Non-Breeding	Total	Total Number Observed with Adequate Space:				% with Adequate Space:				Yes	10		
	Breeding	Non-Breeding	Total													
Total Number Observed with Adequate Space:																
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11. Do 1% or less of the pigs observed have a body condition score of 1? <table border="1" data-bbox="342 1045 589 1171"> <thead> <tr> <th></th> <th>Breeding</th> <th>Non-Breeding</th> <th>Total</th> </tr> </thead> <tbody> <tr> <td>Total Number Observed with BCS 1:</td> <td></td> <td></td> <td></td> </tr> <tr> <td>% with BCS 1:</td> <td></td> <td></td> <td></td> </tr> </tbody> </table>		Breeding	Non-Breeding	Total	Total Number Observed with BCS 1:				% with BCS 1:				Yes	10		
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12. Have these pigs observed with a Body Condition Score of 1 been identified and are receiving attention? NA if zero pigs observed with BCS of 1.	Yes/NA	5/NA														
13. Do 2% or less of the pigs observed show signs of severe lameness? <table border="1" data-bbox="342 1375 589 1535"> <thead> <tr> <th></th> <th>Breeding</th> <th>Non-Breeding</th> <th>Total</th> </tr> </thead> <tbody> <tr> <td>Total Number Observed with Severe Lameness:</td> <td></td> <td></td> <td></td> </tr> <tr> <td>% with Severe Lameness:</td> <td></td> <td></td> <td></td> </tr> </tbody> </table>		Breeding	Non-Breeding	Total	Total Number Observed with Severe Lameness:				% with Severe Lameness:				Yes	10		
	Breeding	Non-Breeding	Total													
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14. Have these pigs observed to be severely lame been identified by caretakers and are receiving attention? NA if zero pigs observed with severe lameness.	Yes/NA	5/NA														
15. Do 5% or less of the pigs observed have abscesses? <table border="1" data-bbox="342 1738 589 1871"> <thead> <tr> <th></th> <th>Breeding</th> <th>Non-Breeding</th> <th>Total</th> </tr> </thead> <tbody> <tr> <td>Total Number Observed with Abscesses:</td> <td></td> <td></td> <td></td> </tr> <tr> <td>% with Abscesses:</td> <td></td> <td></td> <td></td> </tr> </tbody> </table>		Breeding	Non-Breeding	Total	Total Number Observed with Abscesses:				% with Abscesses:				Yes	10		
	Breeding	Non-Breeding	Total													
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16. Have these pigs observed with abscesses been identified by caretakers and receiving attention? NA if zero pigs observed with abscesses.	Yes/NA	5/NA														

17. Do 1% or less of the pigs observed have deep wounds?							
Total Number Observed with Deep Wounds:	Breeding	Non-Breeding	Total				
% with Deep Wounds:				Yes	10		
18. Have these pigs observed with deep wounds been identified by caretakers and receiving attention? NA if zero pigs observed with deep wounds.				Yes/NA	5/NA		
19. Do 10% or less of the pigs observed have scratches longer than 12 inches?							
Total Number Observed with Scratches:	Breeding	Non-Breeding	Total				
% with Scratches:				Yes	10		
20. Have these pigs observed with scratches longer than 12 inches been identified by caretakers and receiving attention? NA if zero pigs observed with scratches.				Yes/NA	5/NA		
21. Do 5% or less of the breeding herd observed have shoulder sores? NA if no breeding pigs on site.							
Total Number Observed with Shoulder Sores:	Breeding						
% with Shoulder Sores:				Yes/NA	10/NA		
22. Have these pigs observed with shoulder sores been identified by caretakers and receiving attention? NA if no breeding pigs on the site or zero observed with shoulder sores.				Yes/NA	5/NA		
23. Do 5% or less of the pigs observed show evidence of tail biting in the herd?							
Total Number Observed with Tail Biting Lesions:	Breeding	Non-Breeding	Total				
% with Tail Biting Lesions:				Yes	10		
24. Have these pigs observed with evidence of tail biting been identified by caretakers and receiving attention? NA if zero pigs observed with tail biting lesions.				Yes/NA	5/NA		
25. Do 5% or less of the non-breeding herd observed have hernias? NA if no non-breeding pigs on site.							
Total Number Observed with Hernias:	Non-Breeding						
% with Hernias:				Yes/NA	10/NA		
26. Have these pigs observed with hernias been identified by caretakers and receiving attention? NA if no non-breeding pigs on the site or zero observed with hernias.				Yes/NA	5/NA		

27. Do 1% or less of the pigs observed have prolapses?							
Total Number Observed with Prolapses:	Breeding	Non-Breeding	Total				
% with Prolapses:				Yes	10		
28. Have these pigs observed with prolapses been identified by caretakers and receiving attention? NA if zero pigs observed with prolapses.				Yes/NA	5/NA		
29. Do 5% or less of the breeding herd observed have vulva injuries? NA if no breeding pigs on site.							
Total Number Observed with Vulva Injuries:	Breeding						
% with Vulva Injuries:				Yes/NA	10/NA		
30. Have these pigs observed with vulva injuries been identified by caretakers and receiving attention? NA if no breeding pigs on the site or zero observed with vulva injuries.				Yes/NA	5/NA		
31. Do pigs show thermoregulatory behaviors that indicate they are too hot or too cold <u>and</u> the air temperature at the pig level is outside the preferred temperature range for the phase of production? If so, has the caretaker taken appropriate actions to minimize heat or cold stress?				No; Yes	10		
32. Do the pigs have access to feed and water according to the site's written SOP?				Yes	10		
33. Are dead animals removed from the living space upon identification?				Yes	10		
Animal/ Benchmarking Total		Possible Points		230			
		- NA possible points					
		Total Possible Points				Total Points Achieved	

Animal Welfare: CARETAKER	Acceptable Answer	Possible Points	Points Achieved	Comments
34. Can caretakers articulate their method for tracking what treatments have been administered and how long each animal has been receiving treatment?	Yes	5		
35. Are transporters delivering or picking up pigs from the site TQA Certified?	Yes	5		
36. Are caretakers able to articulate the training they received specific to their daily duties?	Yes	5		
37. Do all caretakers have a current PQA Plus Certification or are within 6 months from their new employment date?	Yes	5		
38. Are caretakers responsible for euthanasia able to articulate the site's euthanasia plan?	Yes	5		
39. Can caretakers articulate the site's zero tolerance policy for willful acts of abuse and how to report abuse?	Yes	5		
40. Can caretakers responsible for piglet processing procedures demonstrate or articulate the training they received to conduct the procedure according to the site's SOP? NA if the site does not farrow piglets.	Yes/NA	5/NA		
Caretaker Total	Possible Points	35		
	- NA possible points			
	Total Possible Points			Total Points Achieved

Animal Welfare: FACILITY	Acceptable Answer	Possible Points	Points Achieved	Comments
41. Do pigs show signs of exposure to poor air quality? If so does the TWA ammonia concentration exceed 25 ppm?	No; No	5		
42. Does the site have an operational emergency backup system?	Yes	5		
43. Is the euthanasia equipment readily available for use?	Yes	5		
44. Is the penning appropriate for the phase of production and in a good state of repair and not causing or posing an imminent threat of injury to the animal?	Yes	5		
45. Is the flooring appropriate for the phase of production and in a good state of repair and not causing or posing an imminent threat of injury to the animal?	Yes	5		
46. Are the chutes in a good state of repair and not causing or posing an imminent threat of injury to the animal? NA if chute is not located at the site.	Yes/NA	5/NA		
47. Are the alleyways in a good state of repair and not causing or posing an imminent threat of injury to the animal?	Yes	5		
48. Are the feeders in a good state of repair to allow for unobstructed feed delivery and not causing injury to the pigs?	Yes	5		
49. Are the waterers in a good state of repair and positioned to allow for unobstructed water delivery and not causing injury to the pigs?	Yes	5		
50. Do pigs have a dry space to lie down?	Yes	5		
Facility Total	Possible Points	50		
	- NA possible points			
	Total Possible Points			Total Points Achieved

Animal Welfare: RECORDS	Acceptable Answer	Possible Points	Points Achieved	Comments
51. Is there a written record of emergency backup equipment being periodically tested?	Yes	2		
52. Does the site have a written euthanasia plan that is consistent with the current AASV guidelines?	Yes	2		
53. Is the written euthanasia plan readily accessible to all caretakers in the facility?	Yes	2		
54. Does the site have documentation of annual caretaker training specific to their daily duties?	Yes	2		
55. Does the farm have a written zero tolerance policy for willful acts of abuse?	Yes	2		
56. Does the site have a reporting mechanism in place for caretakers to report abuse?	Yes	2		
57. Does the site have a valid PQA Plus Site Status?	Yes	2		
58. Does the site conduct internal site assessment or audit of the facility, animals, caretakers, and procedures (breeding = quarterly; non-breeding = semi-annually)?	Yes	2		
59. Does the site have 12 months of daily observation records?	Yes	2		
60. Does the site have a written SOP for animal handling procedures?	Yes	2		
61. Does the site have a written SOP for piglet processing procedures, specifically castration and tail docking, that complies with AASV guidelines? NA if the site does not farrow piglets.	Yes/NA	2/NA		
62. Does the site have a written SOP for feeding and watering protocols?	Yes	2		
63. Does the site have a written SOP for conducting daily observations?	Yes	2		
64. Does the site have a written SOP for caretaker training?	Yes	2		
65. Does the site have a written SOP for treatment management?	Yes	2		
66. Does the site have a written emergency action plan and are emergency contact numbers posted?	Yes	2		
67. Does the site have a record of mortalities that occur on the farm?	Yes	2		
Records Total	Possible Points	34		
	- NA possible points			
	Total Possible Points			Total Points Achieved

Animal Welfare: TRANSPORT/LOAD-OUT		Acceptable Answer	Possible Points	Points Achieved	Comments
68. Are any pigs that are unable to walk or significantly injured being loaded for transport?		No	10		
69. Are electric prods used as the primary tool for animal movement?		No	10		
70. If electric prods are used, are they being applied correctly? NA if site does not use electric prods.		Yes/NA	10/NA		
71. Do pigs loaded on the trailer show signs of overcrowding?		No	10		
72. Do 1% or less of pigs fall during loading or unloading?		Yes	10		
73. Do 25% or less of the pigs being moved receive an electric shock?		Yes	10		
74. Is the trailer in a good state of repair?		Yes	5		
75. Is the trailer properly aligned with the loading/unloading area?		Yes	5		
76. Is the trailer appropriately equipped for weather conditions during transport?		Yes	5		
Transport/ Load-Out Total	Possible Points		75		
	- NA possible points				
	Total Possible Points				Total Points Achieved

Animal Welfare: FOOD SAFETY		Acceptable Answer	Possible Points	Points Achieved	Comments
77.	Are animal health products stored properly and not past the expiration date?	Yes	5		
78.	Is the site using the appropriate needle sizes per PQA Plus recommendations?	Yes	5		
79.	Are used sharps placed in a rigid puncture-resistant container that is labeled properly?	Yes	5		
80.	Does the site have a valid VCPR?	Yes	2		
81.	Does the site have compliant medication and treatment records?	Yes	2		
82.	Are medication and treatment records retained for 12 months?	Yes	2		
83.	Are VFD's records retained according to FDA guidelines?	Yes	2		
84.	Is there an SOP for needle usage that includes a section on broken needles covering prevention, identification of suspect pigs and protocol for what to do with that animal?	Yes	2		
85.	Does the site have a biosecurity SOP?	Yes	2		
86.	Does the site have an SOP for rodent control?	Yes	1		
87.	Is there evidence that the site's rodent control SOP is being followed?	Yes	1		
88.	Does the site have a log for visitors to the facility?	Yes	2		
89.	Does the site have signage around the facility to control and restrict access for biosecurity compliance?	Yes	2		
Food Safety Total	Possible Points		33		
	Total Possible Points				Total Points Achieved

AUDIT SUMMARY			
CRITICAL: Questions marked "Fail"			
ANIMAL WELFARE:	Possible Points	Points Achieved	Percentage Score
Animal Benchmarking Section			
Caretaker Section			
Facilities Section			
Records Section			
Transport/Load-Out Section			
ANIMAL WELFARE TOTAL			
FOOD SAFETY TOTAL			
TOTAL AUDIT SCORE <i>(sum of Animal Welfare & Food Safety)</i>			

General Comments: